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Penrith building society

Listening and Supporting, Since 1877

Affinity Account Application Form

PLEASE COMPLETE IN INK AND IN BLOCK CAPITALS

For use by private individuals who are UK residents only. An additional application form should be completed where there are more than two account holders of the proposed account. **PLEASE ENSURE ALL SECTIONS ARE COMPLETED.**

If you require any further assistance in completing this form please contact Penrith Building Society on 01768 863675.

Section 1: Account Information

Affinity Choice. Please tick one choice

Sunbeams Music Trust	<input type="checkbox"/>	Eden Valley Hospice & Jigsaw, Cumbria's Children's Hospice	<input type="checkbox"/>	Pride in Penrith Lottery	<input type="checkbox"/>
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What are you saving for?

Origin of funds

How did you hear about us?

Opening Investment

Cash £	Cheques(s) £	Total £
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NOTE: Cheques should be made payable to the account holder(s) and must be drawn from an account in your name.

Section 2: Account Holder(s) Details

NOTE: If an account is to be opened by an attorney or a parent/guardian on behalf of a child please complete the required information in Account Holder 2/Account Signatory section.

Account Holder 1

Title Surname

First Name(s)

Date of Birth (DD/MM/YYYY)

National Insurance Number

Residential Address including postcode

Date moved into current address

From:

If less than 1 year please provide your previous address including postcode:

How long did you live at this address

Years:

Months:

Occupation

Account Holder 2/ Account Signatory

Title Surname

First Name(s)

Date of Birth (DD/MM/YYYY)

National Insurance Number

Residential Address including postcode

Date moved into current address

From:

If less than 1 year please provide your previous address including postcode:

How long did you live at this address

Years:

Months:

Occupation

Section 2: Account Holder(s) Details (continued)

Account Holder 1

Are you related to a staff member? YES/NO

Name of Staff Member	
Relationship	

Email

Telephone

Home	
Evening	
Mobile	

*Preferred Contact Method

Post	
Email	
Telephone	

Account Holder 2 / Account Signatory

Are you related to a staff member? YES/NO

Name of Staff Member	
Relationship	

Email

Telephone

Home	
Evening	
Mobile	

*Preferred Contact Method

Post	
Email	
Telephone	

* It is the Society's policy not to divulge personal/confidential information via email or telephone other than with those members registered for "@PBS" or our "Secure Personal Information via telephone" service. All statements will be sent by post. Please ask a member of staff for details of how to register for "@PBS" or "Secure Personal Information via telephone".

Nationality

Country of Birth

Place of Birth

Nationality

Country of Birth

Place of Birth

Section 3: Joint Account- Withdrawals

Withdrawals on this account can be made on the following number of signatures:

EITHER/ALL/OR ANY _____ TO SIGN
(Delete as appropriate)

Section 4: Tax Details

NOTE: We are unable to open an account without the following details.

Account Holder 1

Are you a citizen ONLY of the UK?	YES/NO
If NO are you a citizen of the USA?	YES/NO
Are you a tax resident ONLY in the UK?	YES/NO
If NO, please list the countries in which you are a tax resident below:	

Account Holder 2 / Account Signatory

Are you a citizen ONLY of the UK?	YES/NO
If NO are you a citizen of the USA?	YES/NO
Are you a tax resident ONLY in the UK?	YES/NO
If NO, please list the countries in which you are a tax resident below:	

Please list your Tax Identification Number (TIN) for the countries entered above. The TIN is the number by which the tax authority in your country of residence identifies you.

Account Holder 1 TIN

Account Holder 2 / Account Signatory TIN

I undertake to advise Penrith Building Society **within 30 days** of any changes in circumstances which affects my tax residency status declared in Section 4 of this form and to provide Penrith Building Society with a suitably updated self certification and declaration **within 30 days** of such changes in circumstances.

Section 5: Customer Identification

WE ARE UNABLE TO OPEN A NEW ACCOUNT WITHOUT SUFFICIENT IDENTIFICATION. We will require one document from each applicant to verify your identity. We will also perform an electronic check. We may request further identification documents if the electronic check does not confirm your identity sufficiently. Please refer to our Identification Requirements information for acceptable documents. If you are an existing customer we will check our records as to when your identity was last confirmed and in certain circumstances we may require further proof of your identity and address.

Section 6: Terms, Conditions & Declarations

This is our member agreement on which we intend to rely. For your own benefit and protection you should read the declarations below carefully before signing them. Please ask a member of staff for further information on any point you do not understand.

Agreement to Assign

IF YOU HAD A SHARE ACCOUNT WITH THE SOCIETY ON 30 SEPTEMBER 2000 AND HAVE KEPT A SHARE ACCOUNT EVER SINCE THAT DATE, OR IF YOU HAVE A MORTGAGE WITH THE SOCIETY AT TODAY'S DATE THE WORDING IN PARAGRAPHS 1 & 2 BELOW DOES NOT APPLY TO YOU, HOWEVER IT MUST NOT BE DELETED. PLEASE LIST YOUR SHARE/MORTGAGE ACCOUNT NUMBER(S).

1. By applying to open a share account on or after 1 October 2000 I/we agree with the Society and the Charities Aid Foundation ("the CAF") that I/we will assign to the CAF (or to any other charity(ies) nominated by it or by the Society under the provisions of a deed dated 29 September 2000 between the Society and the CAF, in which the case references to CAF shall include references to any other charity(ies), but to no other person) the rights to any relevant conversion benefits (defined below). This obligation will not apply to me/us if I/we fall within any class of persons which, at today's date, the Society wishes to be excluded from such obligation. This agreement is irrevocable and authorises the Society to transfer to the CAF any such benefits without further notice to me/us. I/We understand that neither the Society nor the CAF will release me/us from this agreement or vary its terms and I/we will continue to be bound by the above condition even if the Society decides at some point in the future (and announces any such decision by press release or otherwise) that it is no longer in the best interests of the Society to continue with the above assignment condition generally in respect of new members.
- 2(a). "Relevant conversion benefits" means any benefits to which I/we might become entitled as a shareholding member of the Society under the terms of any future transfer of the Society's business to a company (ie. on a conversion or takeover) which is completed at any time within the five years immediately following the date on which my/our share account is opened (or, if applicable, the shorter period as set out in the list available from the Society's Secretary). "Relevant conversion benefits" does not include the statutory right to have shares in the Society (including balances on any share accounts) converted into deposits with the company on a conversion or takeover.
- 2(b). If the Society merges with any other society, after the date of such merger the "Society" includes such other society.

A list of the classes of persons which the Society currently wishes to be excluded from the obligation to assign or in respect of which a shorter period applies (which list may change from time to time but not with retrospective effect) is available from the Society's Secretary at its principal office.

Your Personal Data

YOUR RIGHTS

For the purposes of General Data Protection Regulation, the Penrith Building Society is the Data Controller responsible for the processing of your personal data. You have the right to request in writing a copy of the details held about you and where necessary the right to object to certain processing, the right to correct, sometimes delete and restrict the personal data the Society uses. In addition, you have the right to complain to the Society and the Information Commissioners Office, which enforces data protection laws, at <https://ico.org.uk>. As a result of the General Data Protection Regulation, we have published a Privacy Notice to make it easier for you to find out how we use and protect your information. A copy of the Privacy Notice has been provided to you, you can also view this on the Society's website www.penrithbs.co.uk or a copy can be picked up in Branch.

Where you have provided your consent to the Society, such as to receive marketing messages, you have the right to withdraw it at any time. You can do this by calling into the Branch, phoning us on 01768 863675 or writing to us at 7 King Street, Penrith, Cumbria, CA11 7AR.

HOW WE USE YOUR DATA

- a) Penrith Building Society will only retain your personal data only for as long as necessary to administer your account in line with regulatory and legal requirements.
- b) Penrith Building Society processes your personal information to enable it to provide a service for its members and customers which may include managing your accounts, maintaining its own accounts and records, supporting staff training and development, promoting its services; undertaking market research and the provision of financial services and advice.
- c) If false and inaccurate information is provided and fraud is identified, your details will be passed to fraud prevention agencies.
- d) Penrith Building Society requires a lawful reason to process your personal data and for some processing more than one legal basis may be relevant (except where the Society relies on Consent). The Society uses the following reasons to process your personal data: Consent, Performance of a Contract, Legal Obligation and Legitimate Interests.

Section 6: Terms & Conditions (continued)

Keeping you up to date about products and services

The Society would occasionally like to provide you with details of products, services and other promotions which may be of interest to you. This may be by telephone, post or email. We will remind you of your right to alter your marketing preferences from time to time, or you may change them at any time by writing to the Society's head office.

I agree to my information being used for marketing purposes if this box is ticked.

Holder 1

I agree to my information being used for marketing purposes if this box is ticked.

Holder 2

Declarations

I/We the person(s) whose signature(s) appear on this form declare that:

- I/We declare that the Account will be held by me/us as either sole beneficial owner or joint beneficial owners OR trustee(s) or nominee(s) on behalf of the beneficial owner(s) excluding trustees for discretionary and accumulating trusts. The account will not be held by me/us as a bare trustee for a body corporate, or for persons who include a body corporate. (A bare trustee is one who holds property in trust for the absolute benefit and at the absolute disposal of other persons and, apart from a duty to transfer the property to them on request, has no other duties in respect of it. Any person who has an existing beneficial interest in the property cannot be a bare trustee).
- I/We are fully aware that this account is only available for investments made by or on behalf of individuals.
- I/We undertake to inform Penrith Building Society **within 30 days** of any changes in my circumstances, such as moving outside the UK that may affect this declaration.
- I/We confirm that I/we have received the Financial Services Compensation Scheme Information Sheet.
- I/We have received a copy of Penrith Building Society's complaints leaflet.
- I/We agree to be bound by the Rules of Penrith Building Society.
- I/We have read and accept the full terms and conditions of the account.
- I/We confirm that the details contained within this application form are correct.

For your own benefit and protection you should read the terms and conditions contained in the Society's Terms and Conditions for Investment Accounts booklet, the Key Features information document and this application form together with the Society's Rules as they apply from time to time carefully before signing. If you do not understand any point please ask a member of staff for further information.

Before signing this form please read carefully the above Terms and Conditions and Declarations of this account as we will seek to rely on them.

Signed Date
Account Holder 1

Signed Date.....
Account Holder 2/ Account Signatory

For Office Use Only

ACCOUNT NUMBER			
Account Holder 1		Account Holder 2/ Account Signatory	
Cust No		Cust No	
Personal ID Ref	dd/mm/yy	Personal ID Ref	dd/mm/yy
Address ID Ref	dd/mm/yy	Address ID Ref	dd/mm/yy
Assigned?		Assigned?	
ID Checked	Initials	ID Checked	Initials
App Form Check P to P	Initials	App Form Checked P to P	Initials
App Form Check Final	Initials	App Form Check Final	Initials
Memos Required	Memos Applied Y/N	Memos Required	Memos Applied Y/N

Cheque Details	
Issuing Bank/Building Society	
Account Number	

Personal Current Account	YES/NO
Sort Code	